Docket No.: P2002,0637

I he eby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-4450, on the date indicated below.

Date: September 9, 2004

## JNITED STATES PATENT AND TRADEMARK OFFICE

Applic. No.

10/631,384

Confirmation No: 9553

Applicant

Werner Plass et al.

Filed

July 31, 2003

Title

Surface Emitting Semiconductor Laser Chip and Method for

Producing the Chip

Art Unit

2826

Examiner

Ahmed N. Sefer

Docket No.

P2002,0637

Customer No.:

24131

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(C)(2)

Hon. Commissioner for Patents

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

G. Winstel: "Optoelektronik I", Springer Verlag, Germany, 1980, pp. 300-309.

If no translation of pertinent portions of any foreign language patents or publications mentioned above is included with the aforementioned copies of those applications, patents and/or publications, it is because no existing translation is readily available to the applicant.

In accordance with 37 C.F.R. 1.97 (c) (2), consideration of this Information Disclosure Statement is requested.

09/14/2004 LWONDIM1 00000037 10631384

01 FC:1806

180.00 OP

Enclosed is the fee in the amount of \$180.00.

Respectfully submitted,)

Alfred K. Dassler 52,794

For Applicants

Date: September 9, 2004

Lerner And Greenberg, P.A. Post Office Box 2480 Hollywood, FL 33022-2480

Tel: (954) 925-1100 Fax: (954) 925-1101

/bmb

## Sheet 1 of 1 Attorney Docket No.: Applic. No. FORM PTO-1449 (SUBSTITUTE) P2002.0637 10/631,384 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE **Applicant** Werner Plass et al. INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing Date Group Art Unit (37 CFR 1.98(b)) July 31, 2003 2826 U.S. PATENT DOCUMENTS **EXAMINER** FILING SUB **INITIALS** PATENT NO. DATE **PATENTEE** CLASS DATE **CLASS** Α В C D Е F G Н FOREIGN PATENT DOCUMENT SUB TRANSL. DOCUMENT NO. CLASS DATE COUNTRY CLASS YES | NO J K Μ Ν OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) O G. Winstel: "Optoelektronik I", Springer Verlag, Germany, 1980, pp. 300-309

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

P

**EXAMINER**